

EXHIBIT 10

TAB 5

BOOK I OF 3

CD- EXH 10

TAB 5



AR 15-6 Investigation

Investigating Officer: Gretz M. Powell, GG-13/Chief, DPTMS Security Division

Appointing Official: COL (b)(6) Garrison Commander

Interview Data Sheet

Name:	(b)(6)	Date:	4/26/2007
Rank:	WG-09	Time:	1400
Organization:	(b)(6)	Location:	WWTP Conference Room
Phone:	(b)(6)	Email:	
Union Representative:	IAM 282	Union POC Info:	(b)(6)

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

Documents Requested:
None

Interview Notes:

Refer to question/response format on Sworn Statement. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure your social security number is voluntary.

1. LOCATION Waste Water Treatment Plant Conf Room	2. DATE (YYYYMMDD) 2007/04/26	3. TIME	4. FILE NUMBER N/A
5. LAST NAME FIRST NAME MIDDLE NAME (b)(6)	6. SSN (b)(6)	7. GRADE/STATUS WG-09/DA Civilian	
8. ORGANIZATION OR ADDRESS Directorate of Public Works (DPW), Fort Lewis, WA 98433			

9. I, (b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

INTERVIEWER QUESTION: What is your official position title, series and grade?
INTERVIEWEE RESPONSE: Utility Systems Repairer Operator, WG-09 STEPS. (b)(6)

INTERVIEWER QUESTION: Did anyone in your management chain of command notify you that there would be a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?
INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: If you had been aware of the vacancy announcement for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10, would you have self-nominated for the position?
INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: Did you load a resume in the Army Resume Builder (Resumix) anytime between January 2005 and December 2006?
INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: Are you familiar with the USA Jobs website or the Army CPOL vacancy website?
INTERVIEWEE RESPONSE: Army CPOL yes, USA Jobs No. (b)(6)

INTERVIEWER QUESTION: Did you regularly search job vacancies on either the USA Jobs website or the Army CPOL vacancy website prior to December 2006?
INTERVIEWEE RESPONSE: No. (b)(6)

(b)(6)

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT (b)(6)	PAGE 1 OF 10 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b)(6) TAKEN AT WWT P, Conf Rm DATED 2007/04/26

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Did you establish an automatic email notification client through either the USA jobs website or the Army CPOE vacancy website prior to December 2006?

INTERVIEWEE RESPONSE: No (b)(6)

INTERVIEWER QUESTION: Did you contact (b)(6) or (b)(6) to request consideration after the vacancy announcement was closed?

INTERVIEWEE RESPONSE: No, was not aware that I could do that. (b)(6)

INTERVIEWER QUESTION: Do you believe you are qualified for the position of Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: Yes (b)(6)

INTERVIEWER QUESTION: Are you aware of anyone receiving advance notification from anyone in your management chain of command that there would be a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10? If yes, who received the advance notification and how did you learn this information?

INTERVIEWEE RESPONSE: Yes (b)(6) received advance notice from (b)(6) and (b)(6) secretary (b)(6) told (b)(6) when told no, a statement for (b)(6) explaining that (b)(6) told Carol to notify Al and not notify (b)(6) or anyone else. (b)(6)

INTERVIEWER QUESTION: Did anyone notify you that Veith A. Long was selected for the competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: No (b)(6)

INTERVIEWER QUESTION: Do you believe someone else was more qualified than Veith A. Long for the position of Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: Yes (b)(6), myself, (b)(6), (b)(6), (b)(6), (b)(6), (b)(6) RAR

INTERVIEWER QUESTION: Is there anything you would like to add regarding this specific competitive temporary promotion action?

INTERVIEWEE RESPONSE: Yes. Prior to the vacancy announcement (b)(6) informed all of us that (b)(6) he would make sure we all knew when the job would be announced. He made that promise because there is no internet access at the facility. He did not notify us as he said he would. He went to great lengths to hide it from us. I asked (b)(6) if I could take classes & assist (b)(6) to improve my abilities to obtain a supervisor position. I was interested in the job. I believe (b)(6) was preselected for this job. RAR

INITIALS OF PERSON MAKING STATEMENT (b)(6) PAGE 2 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP, Conf Rm DATED 2007/04/26

D. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you familiar with the maintenance requirements for the Waste Water Treatment Plant (WWTP) Compressor?

INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: What oil type/weight does the WWTP Compressor require?

INTERVIEWEE RESPONSE: 10 weight oil. Non-emulsifying, bio-degradable, big friendly. (b)(6)

INTERVIEWER QUESTION: Is there a document or publication which specifies the oil type/weight to be used in the WWTP Compressor?

INTERVIEWEE RESPONSE: The manufacturer's manual. (b)(6)

INTERVIEWER QUESTION: Have you ever used an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: Yes because it was the only oil available. (b)(6)

INTERVIEWER QUESTION: Have you ever observed another individual use an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: No, we operate alone so I don't see what other operators do. (b)(6)

INTERVIEWER QUESTION: What is the purpose of the WWTP daily logs?

INTERVIEWEE RESPONSE: Note any changes pertinent to operations of the flows that would have any effect on the permit requirements. (b)(6)

INTERVIEWER QUESTION: Who is responsible for updating the WWTP daily logs?

INTERVIEWEE RESPONSE: Each operator is responsible during their shift. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

(b)(6) (b)(6)
PAGE 3 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP, Conf Rm DATED 2007/04/26

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there written guidance on how to properly fill out WWTP daily logs?

INTERVIEWEE RESPONSE: No (b)(6)

INTERVIEWER QUESTION: Has anyone in your management chain of command ever trained you on how to fill out WWTP daily logs?

INTERVIEWEE RESPONSE: Verth A. Long put (b)(6) a document with directions on how to write logs to look (b)(6)

INTERVIEWER QUESTION: Have you ever written anything in the WWTP daily logs that was not directly related to WWTP operations?

INTERVIEWEE RESPONSE: Yes, I have. (b)(6)

INTERVIEWER QUESTION: Have you ever observed anyone writing something in the WWTP daily logs that you felt at the time was inappropriate?

INTERVIEWEE RESPONSE: Yes Verth A. Long writes things like "one logged peckerwood." (b)(6)

INTERVIEWER QUESTION: Who has access to the WWTP daily logs?

INTERVIEWEE RESPONSE: Anyone who comes into the facility and knows where to look for them. They are an official document (b)(6)

INTERVIEWER QUESTION: Where are the WWTP daily logs stored?

INTERVIEWEE RESPONSE: Current log is on operator's desk. Old logs are boxed (b)(6) has some of the logs but I did not personally observe him remove them (b)(6) said he would ask (b)(6) to return the logs but they have not been returned (b)(6)

INTERVIEWER QUESTION: How long are the historical WWTP daily logs retained?

INTERVIEWEE RESPONSE: Seven years. Could be fine to seven, but we generally keep them ten years. (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 4 OF 14 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP, Post Room DATED 2007/04/06

B. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when sludge is removed from a digester?

INTERVIEWEE RESPONSE: (b)(6) Sludge is moved from digester to drying beds via valves and pipes. ~~It is done~~ under normal operations, when there is excessive oil in the sludge, there are additional tests ~~performed~~ before sludge is moved to drying beds. ~~Normally~~ ~~method~~

INTERVIEWER QUESTION: Are there written procedures for removing sludge from a digester?

INTERVIEWEE RESPONSE: I don't know that there are written procedures (b)(6)

INTERVIEWER QUESTION: Were you on duty on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: Yes, I think so. (b)(6)

INTERVIEWER QUESTION: Were the normal procedures for removing sludge from a digester followed on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: Other than the tests on sludge, yes (b)(6)

INTERVIEWER QUESTION: Are there any circumstances under which it would be reasonable not to test sludge being moved from a digester to a drying bed?

INTERVIEWEE RESPONSE: Yes, if they were going to accept (b)(6) test results. (b)(6)

INTERVIEWER QUESTION: Were you on duty when repairs were made to the swing arm on the floating lid of digester number three?

INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: Who made the repairs?

INTERVIEWEE RESPONSE: (b)(6) (b)(6) (b)(6) (b)(6) (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

(b)(6)

(b)(6)

PAGE 3 OF 10 PAGES

(b)(6)

STATEMENT OF

TAKEN AT WWTTP, Conf Room DATED 2007/04/06

D. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when repairs to the swing arm are required?

INTERVIEWEE RESPONSE:

Oxygen meter, gas meter, non-sparking tools, lift device or crane should have been in place. Notify operator in charge and anyone else in plant. Check gas system to ensure locked and tagged out. Not confined space so don't need to worry about

INTERVIEWER QUESTION: Did the individual who made the repairs to the swing arm following the normal repair procedures?

INTERVIEWEE RESPONSE:

No, didn't have gas meter non-sparking tools or lift device. Did not notify plant operator or other occupants of plant. Vent, notice (b)(6)

INTERVIEWER QUESTION: Was anyone injured during the repairs to the swing arm?

INTERVIEWEE RESPONSE:

No (b)(6)

INTERVIEWER QUESTION: Do you believe the way in which the repairs of the swing arm were handled was unsafe?

INTERVIEWEE RESPONSE:

Yes (b)(6)

INTERVIEWER QUESTION: Did you report a safety violation related to the repairs of the swing arm to anyone in your management chain of command or to anyone of the Installation Safety Office?

INTERVIEWEE RESPONSE:

yes, reported to (b)(6) by telephone, Safety office by telephone, and (b)(6) by telephone (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed during a sewage back up?

INTERVIEWEE RESPONSE:

Nothing unless we are informed of specific issues (b)(6)

INTERVIEWER QUESTION: Are there written procedures for a sewage back up?

INTERVIEWEE RESPONSE:

No (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

(b)(6)

(b)(6)

PAGE 10 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP, CONF RM DATED 2007/04/26

B. STATEMENT (Continued)

INTERVIEWER QUESTION: Were you on duty on or about 3 January 2007 when there was a sewage back up?

INTERVIEWEE RESPONSE: *We have sewage backups all the time, so I have no idea* (b)(6)

INTERVIEWER QUESTION: Were the normal procedures followed during the sewage back up?

INTERVIEWEE RESPONSE: *They aren't any.* (b)(6)

INTERVIEWER QUESTION: Does the WWTP have a 24/7 (b)(6) requirement?

INTERVIEWEE RESPONSE: *yes.* (b)(6)

INTERVIEWER QUESTION: Are there ever occasions when the WWTP is staffed by a single employee?

INTERVIEWEE RESPONSE: *Pretty much all the time other than day shift.* (b)(6)

INTERVIEWER QUESTION: Have you ever known the WWTP to be left unattended?

INTERVIEWEE RESPONSE: *Yes, but just about everybody at one time or another but mostly due to other work requirements and lunch.* (b)(6)

INTERVIEWER QUESTION: Have you ever left the WWTP unattended?

INTERVIEWEE RESPONSE: *yes* (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed to treat water at Donovan Reservoir?

INTERVIEWEE RESPONSE: *Don't know.* (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

(b)(6)

(b)(6)

PAGE 1 OF 1 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP, Cont Room DATED 2007/04/26

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are there written procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: Don't know (b)(6)

INTERVIEWER QUESTION: Have you ever observed an individual not follow the normal procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: Don't know (b)(6)

INTERVIEWER QUESTION: Who in your management chain of command is responsible for making site visits to the WWTP?

INTERVIEWEE RESPONSE: Veith A. Long, Supervisor (b)(6)

INTERVIEWER QUESTION: How regularly are they required to make site visits?

INTERVIEWEE RESPONSE: I don't know that there was a requirement. (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, how often did individuals from your management chain of command visit the WWTP?

INTERVIEWEE RESPONSE: (b)(6) visited maybe twice, three times in a year. (b)(6) was in and out three/four times a week and on the weekends. Here physically or called (b)(6)

INTERVIEWER QUESTION: Have you ever been dissatisfied with the frequency of visits by members of your management chain of command?

INTERVIEWEE RESPONSE: Yes, I think they should be here a lot more (b)(6)

INTERVIEWER QUESTION: Have you ever requested a member of your management chain of command conduct a site visit?

INTERVIEWEE RESPONSE: No, ~~not~~ when there ~~are~~ have been problems I have requested (b)(6) (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6) PAGE 8 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP, Conf Rm DATED 2007/04/26

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there currently a shortage of repair parts or equipment for the WWTP?

INTERVIEWEE RESPONSE: Yes (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, was there ever a shortage of repair parts or equipment at the WWTP?

INTERVIEWEE RESPONSE: Yes (b)(6)

INTERVIEWER QUESTION: Who is responsible for monitoring repair part and equipment inventories?

INTERVIEWEE RESPONSE: No one (b)(6)

INTERVIEWER QUESTION: Who is responsible for identifying repair parts and equipment that needs to be ordered to replenish on hand inventories at the WWTP?

INTERVIEWEE RESPONSE: Each operator (b)(6)

INTERVIEWER QUESTION: If you need a repair part or piece of equipment that is not available in on hand inventories, what procedures do you follow to request/order the part piece of equipment?

INTERVIEWEE RESPONSE: Identify part and ordering information, put on Exhibit 6 and submit to supervisor for approval (b)(6)

INTERVIEWER QUESTION: Have you ever submitted a request for a repair part or piece of equipment and had the request denied? If yes, did management explain why the request was denied?

INTERVIEWEE RESPONSE: No, but I know yes, requested hearing protection that was not provided (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6) (b)(6)
PAGE 9 OF 10 PAGES

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you aware of any deficiencies at the portable latrine dumping site at North Fort?

INTERVIEWEE RESPONSE: Not familiar with site at all. (b)(6)

INTERVIEWER QUESTION: How did you learn of these deficiencies?

INTERVIEWEE RESPONSE: Not applicable. (b)(6)

INTERVIEWER QUESTION: Did you notify anyone in your management chain of command of these deficiencies?

INTERVIEWEE RESPONSE: Not applicable. (b)(6)

INTERVIEWER QUESTION: Do you have any documents you would like to provide related to these questions?

INTERVIEWEE RESPONSE: I don't know. (b)(6)

(b)(6) AFFIDAVIT

I, (b)(6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 70. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6)
(Signature of Person Making Statement)

WITNESSES:


(b)(6)

(b)(6)

ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 26th day of April, 2007 at WWT P Cont Rm, Fort Lewis, WA


(Signature of Person Administering Oath)
GRETA POWELL
Chief, Security Division

(Typed Name of Person Administering Oath)
AR 15-6 Investigating Officer
(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

(b)(6) (b)
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AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
 Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name: (b)(6)	Date: 4/26/2007
Rank: WG-09	Time: 1400
Organization: DPW	Location: WWTP Conference Room
Phone: (b)(6)	Email:
Union Representative: IAM 282	Union POC Info: (b)(6)

Sworn Statement <input type="checkbox"/> YES <input type="checkbox"/> NO	Privacy Act Advisement <input type="checkbox"/> YES <input type="checkbox"/> NO	Rights Waiver Certificate <input type="checkbox"/> YES <input type="checkbox"/> NO
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Documents Requested:
 None

Interview Notes:

Refer to question/response format on Sworn Statement. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.

EXHIBIT 10

TAB 6

BOOK I OF 3

CD- EXH 10

TAB 6

AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
 Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name:	(b)(6)	Date:	4/27/2007
Rank:	WG-09	Time:	0630
Organization:	(b)(6)	Location:	WWTP Conference Room
Phone:	(b)(6)	Email:	
Union Representative:	IAM 282	Union POC Info:	(b)(6)

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

Documents Requested:
None

Interview Notes:

Refer to question/response format on Sworn Statement related solely to hiring action. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.

*Declined union representation
 Hesitant to participate
 Note - Mandatory lunch break may violate Federal Law - Would not be able to respond to power failure as required by permit. (b)(6) in concert with management made decision for mandatory 30 min lunch break.*

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 8397 dated November 22, 1943 (SSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your social security number is voluntary.

1. LOCATION <i>Waste Water Treatment Plant, Conf Rm</i>	2. DATE (YYYYMMDD) <i>2007/04/27</i>	3. TIME <i>0630</i>	4. FILE NUMBER <i>N/A</i>
5. LAST NAME, FIRST NAME, MIDDLE NAME <i>(b)(6)</i>	6. SSN <i>(b)(6)</i>	7. GRADE/STATUS <i>WG-09/DA CIV</i>	
8. ORGANIZATION OR ADDRESS <i>Waste Water Treatment Plant, Conference Room, Fort Lewis, WA 98433</i>			

9. *(b)(6)* WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

INTERVIEWER QUESTION: What is your official position title, series and grade?
INTERVIEWEE RESPONSE: *Utility Systems Repairer Operator, WG-09-STEP 5* (b)(6)

INTERVIEWER QUESTION: Did anyone in your management chain of command notify you that there would a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?
INTERVIEWEE RESPONSE: *Not that I remember.* (b)(6)

INTERVIEWER QUESTION: If you had been aware of the vacancy announcement for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10, would you have self nominated for the position?
INTERVIEWEE RESPONSE: *Probably, yes.* (b)(6)

INTERVIEWER QUESTION: Did you load a resume in the Army Resume Builder (Resumix) anytime between January 2005 and December 2006?
INTERVIEWEE RESPONSE: *yes.* (b)(6)

INTERVIEWER QUESTION: Are you familiar with the USA Jobs website or the Army CPOL vacancy website?
INTERVIEWEE RESPONSE: *yes.* (b)(6)

INTERVIEWER QUESTION: Did you regularly search job vacancies on either the USA Jobs website or the Army CPOL vacancy website prior to December 2006?
INTERVIEWEE RESPONSE: *On occasions I checked and on others I didn't.* (b)(6)

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT <i>(b)(6)</i>	PAGE 1 OF <i>10</i> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"
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STATEMENT OF (b)(6) TAKEN AT WWT P Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Did you establish an automatic email notification client through either the USA Jobs website or the Army CPOJ vacancy website prior to December 2006?

INTERVIEWEE RESPONSE: I don't remember that feature at that time. (b)(6)

INTERVIEWER QUESTION: Did you contact (b)(6) or (b)(6) to request consideration after the vacancy announcement was closed?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Do you believe you are qualified for the position of Utility systems Repairer-Operator Supervisor, WS-4742-107?

INTERVIEWEE RESPONSE: Under circumstances that allowed certain period of time to obtain licenses, I would have been qualified. (b)(6)

INTERVIEWER QUESTION: Are you aware of anyone receiving advance notification from anyone in your management chain of command that there would be a job announcement from 23 - 29 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-107? If yes, who received the advance notification and how did you learn this information?

INTERVIEWEE RESPONSE: I was not aware of it. (b)(6)

INTERVIEWER QUESTION: Did anyone notify you that Veith A. Long was selected for the competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-107?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Do you believe someone else was more qualified than Veith A. Long for the position of Utility systems Repairer-Operator Supervisor, WS-4742-107?

INTERVIEWEE RESPONSE: Yes. Several other people at WWT P were more qualified because most of them had a higher waste water license which in my opinion would carry more weight than water license. (b)(6)

INTERVIEWER QUESTION: Is there anything you would like to add regarding this specific competitive temporary promotion action?

INTERVIEWEE RESPONSE: I was surprised at the selection that was made. I was subsequently apologized to by the previous supervisor, Ben McCorkay, over the telephone and in person that Veith A. Long had been selected and for the resulting difficult work environment. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

(b)(6) (b)(6)
PAGE 2 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

B. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you familiar with the maintenance requirements for the Waste Water Treatment Plant (WWTP) Compressor?

INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: What oil type/weight does the WWTP Compressor require?

INTERVIEWEE RESPONSE: 30 weight oil, I recall there being some special about the oil required but I don't know what it was. We've been provided with standard 30 weight oil. (b)

INTERVIEWER QUESTION: Is there a document or public (b) which specifies the oil type/weight to be used in the WWTP Compressor?

INTERVIEWEE RESPONSE: Yes, an ~~staff~~ Operations and Maintenance Manual. (b)(6)

INTERVIEWER QUESTION: Have you ever used an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: I don't recall. (b)(6)

INTERVIEWER QUESTION: Have you ever observed another individual use an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: What is the purpose of the WWTP daily logs?

INTERVIEWEE RESPONSE: Essentially it's a plant record. (b)(6)

INTERVIEWER QUESTION: Who is responsible for updating WWTP daily logs?

INTERVIEWEE RESPONSE: The operators. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

PAGE 3 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Carl Am DATED 2007/04/27

B. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there written guidance on how to properly fill out WWTP daily logs?

INTERVIEWEE RESPONSE: That's all changed since (b)(6) left & (b)(6) took over; however, I don't recall written guidance prior to (b)(6) guidance. (b)(6)

INTERVIEWER QUESTION: Has anyone in your management chain of command ever trained you on how to fill out WWTP daily logs?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Have you ever written anything in the WWTP daily logs that was not directly related to WWTP operations?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Have you ever observed anyone writing something in the WWTP daily logs that you felt at the time was inappropriate?

INTERVIEWEE RESPONSE: Yes, but it doesn't happen very often. (b)(6)

INTERVIEWER QUESTION: Who has access to the WWTP daily logs?

INTERVIEWEE RESPONSE: Anyone who comes in the building (b)(6)

INTERVIEWER QUESTION: Where are the WWTP daily logs stored?

INTERVIEWEE RESPONSE: Current log is on operator's desk and some historical logs are kept by (b)(6). Some logs are missing and don't know why or who may have taken them. (b)(6)

INTERVIEWER QUESTION: How long are the historical WWTP daily logs retained?

INTERVIEWEE RESPONSE: I don't think there's a written requirement. I think they should be kept for seven to ten years as a historical document for the plant. (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 4 OF 8 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

B. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when sludge is removed from a digester?

INTERVIEWEE RESPONSE: Open valve and pump to drying bed. We sample at beginning, middle and end of bed post. Put information about pour into lab log.

(b)(6)

INTERVIEWER QUESTION: Are there written procedures for removing sludge from a digester?

INTERVIEWEE RESPONSE: No, not that I recall. (b)(6)

INTERVIEWER QUESTION: Were you on duty on or about 14 November 2006 when Cascade personnel (b)(6) sludge from digester number one to drying beds?

INTERVIEWEE RESPONSE: I was here while (b)(6) ~~completed~~ was performing their contract during my normal shift, 8 on and 6 off, but I don't recall if I was on duty that specific day. (b)(6)

INTERVIEWER QUESTION: Were the normal procedures for removing sludge from a digester followed on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: I don't recall. (b)(6)

INTERVIEWER QUESTION: Are there any circumstances under which it would be reasonable not to test sludge being moved from a digester to a drying bed?

INTERVIEWEE RESPONSE: Not that I can think of. It's just something we've always done. (b)(6)

INTERVIEWER QUESTION: Were you on duty when repairs were made to the swing arm on the floating lid of digester number three?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Who made the repairs?

INTERVIEWEE RESPONSE: I didn't witness it but I heard one of our shops come over and did it. It's a very dangerous situation messing with the swing arm. It's a dangerous procedure. (b)(6) (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

(b)(6)

(b)(6)

PAGE 5 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWT P Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when repairs to the swing arm are required?

INTERVIEWEE RESPONSE: I don't know (b)(6)

INTERVIEWER QUESTION: Did the individual who made the repairs to the swing arm following the normal repair procedures?

INTERVIEWEE RESPONSE: I don't know (b)(6)

INTERVIEWER QUESTION: Was anyone injured during the repairs to the swing arm?

INTERVIEWEE RESPONSE: Not that I know of. (b)(6)

INTERVIEWER QUESTION: Do you believe the way in which the repairs of the swing arm were handled was unsafe?

INTERVIEWEE RESPONSE: I don't have enough information to answer. (b)(6)

INTERVIEWER QUESTION: Did you report a safety violation related to the repairs of the swing arm to anyone in your management chain () command or to anyone at the Installation Safety Office?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed during a sewage back up?

INTERVIEWEE RESPONSE: I don't understand the question. I don't have any information about that. (b)(6)

INTERVIEWER QUESTION: Are there written procedures for a sewage back up?

INTERVIEWEE RESPONSE: I don't know. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

(b)(6) (b)(6)
PAGE 6 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Cont Rm DATED 2007/04/27

B. STAT EMENT (Continued)

INTERVIEWER QUESTION: Were you on duty on or about 1 January 2007 when there was a sewage back up?

INTERVIEWEE RESPONSE: I don't remember. (b)(6)

INTERVIEWER QUESTION: Were the normal procedures followed during the sewage back up?

INTERVIEWEE RESPONSE: I don't know. (b)(6)

INTERVIEWER QUESTION: Does the WWTP have a 24/7 (b)(6) requirement?

INTERVIEWEE RESPONSE: As far as I know, yes. (b)(6)

INTERVIEWER QUESTION: Are there ever occasions when the WWTP is staffed by a single employee?

INTERVIEWEE RESPONSE: Often, almost all the time. (b)(6)

INTERVIEWER QUESTION: Have you ever known the WWTP to be left unattended?

INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: Have you ever left the WWTP unattended?

INTERVIEWEE RESPONSE: Yes, when I'm called out by other work requirements. I don't believe the plant should be left unattended because we can violate our permit, EPA, within three minutes of losing power. (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed to treat water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know. (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)

PAGE 7 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are there written procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know. (b)(6)

INTERVIEWER QUESTION: Have you ever observed an individual not follow the normal procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Who in your management chain of command is responsible for making site visits to the WWTP?

INTERVIEWEE RESPONSE: Immediate supervisor, (b)(6) (b)(6)

INTERVIEWER QUESTION: How regularly are they required to make site visits?

INTERVIEWEE RESPONSE: I don't know because I don't know what supervisor told him. (b)(6) (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, how often did individuals from your management chain of command visit the WWTP?

INTERVIEWEE RESPONSE: I would see (b)(6) several times a shift of 8 days. (b)(6)

INTERVIEWER QUESTION: Have you ever been dissatisfied with the frequency of visits by members of your management chain of command?

INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: Have you ever requested a member of your management chain of command conduct a site visit?

INTERVIEWEE RESPONSE: No. (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

(b)(6) (b)(6)
PAGE 8 OF 10 PAGES

(b)(6)

STATEMENT OF

(b)(6)

TAKEN AT

WWTP Conf Rm

DATED

2007/04/27

B. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there currently a shortage of repair parts or equipment for the WWTP?

INTERVIEWEE RESPONSE:

yes.

(b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, was there ever a shortage of repair parts or equipment at the WWTP?

INTERVIEWEE RESPONSE:

yes.

(b)(6)

INTERVIEWER QUESTION: Who is responsible for monitoring repair part and equipment inventories?

INTERVIEWEE RESPONSE:

Front line supervisor.

(b)(6)

INTERVIEWER QUESTION: Who is responsible for identifying repair parts and equipment that needs to be ordered to replenish on hand inventories WWTP?

INTERVIEWEE RESPONSE:

The operators.

(b)(6)

INTERVIEWER QUESTION: If you need a repair part or piece of equipment that is not available in on hand inventories, what procedures do you follow to request/order the part or piece of equipment?

INTERVIEWEE RESPONSE:

Fill out Exhibit 6 and turn it in to front line supervisor.

(b)(6)

INTERVIEWER QUESTION: Have you ever submitted a request for a repair part or piece of equipment and had the request denied? If yes, did management explain why the request was denied?

INTERVIEWEE RESPONSE:

Yes, not given an explanation.

(b)(6)

(b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 9 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

8. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you aware of any deficiencies at the portable latrine dumping site at North Fort?

INTERVIEWEE RESPONSE: I don't know anything about it. (b)(6)

INTERVIEWER QUESTION: How did you learn of these deficiencies?

INTERVIEWEE RESPONSE: Not applicable (b)(6)

INTERVIEWER QUESTION: Did you notify anyone in your management chain of command of these deficiencies?

INTERVIEWEE RESPONSE: Not applicable (b)(6)

INTERVIEWER QUESTION: Do you have any documents you would like to provide related to these questions?

INTERVIEWEE RESPONSE: No (b)(6)

(b)(6)

AFFIDAVIT

I, (b)(6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE (b)(6). I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL (b)(6)

(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 27th day of April, 2007

WWTP Conf Room, Fort Lewis, WA

(Signature of Person Administering Oath)

Greta M. Powell

(Typed Name of Person Administering Oath)

AR 15-6 Investigating Officer

(Authority to Administer Oaths)

ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 18 OF 18 PAGES



AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
 Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name:	(b)(6)	Date:	4/27/2007
Rank:	WG-09	Time:	0630
Organization:	(b)(6)	Location:	WWTP Conference Room
Phone:	(b)(6)	Email:	
Union Representative:	IAM 282	Union POC Info:	(b)(6)

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

Documents Requested:
None

Interview Notes:

Refer to question/response format on Sworn Statement related solely to hiring action. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.



EXHIBIT 10

TAB 7

BOOK I OF 3

CD- EXH 10

TAB 7



AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
 Appointing Official: COL Cynthia A. (b)(6) Garrison Commander

Interview Data Sheet

Name: (b)(6) Date: **4/27/2007**
 Rank: **WG-09** Time: **0900**
 Organization: (b)(6) Location: **WWTP Conference Room**
 Phone: (b)(6) Email:
 Union Representative: **IAM 282** Union POC Info: (b)(6)

Sworn Statement		Privacy Act Advisement		Rights Waiver Certificate	
<input type="checkbox"/> YES	<input type="checkbox"/> NO	<input type="checkbox"/> YES	<input type="checkbox"/> NO	<input type="checkbox"/> YES	<input type="checkbox"/> NO

Documents Requested:
 None

Interview Notes:

Refer to question/response format on Sworn Statement. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.



AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name: **Ronald Johnston** Date: **4/27/2007**
Rank: **WG-09** Time: **0900**
Organization: Location: **WWTP Conference Room**
Phone: Email:
Union Representative: **IAM 282** Union POC Info:

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

Documents Requested:
None

Interview Notes:

Refer to question/response format on Sworn Statement. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your social security number is voluntary.

1. LOCATION Waste Water Treatment Plant (WWTP)	2. DATE (YYYYMMDD) 20070427	3. TIME 0800	4. FILE NUMBER N/A
5. LAST NAME FIRST NAME MIDDLE NAME (b)(6)	6. SSN (b)(6)	7. GRADE/STATUS WG-09/DA Civilian	
8. ORGANIZATION OR ADDRESS WWTP, Fort Lewis, WA 98433			

9. I, (b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

INTERVIEWER QUESTION: What is your official position title, series and grade?
INTERVIEWEE RESPONSE: WG-09-STEPS, Waste Water Operator Repairman, State Certified Operator Class III.
(b)(6)

INTERVIEWER QUESTION: Did anyone in your management chain of command notify you that there would be a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?
INTERVIEWEE RESPONSE: No.
(b)(6)

INTERVIEWER QUESTION: If you had been aware of the vacancy announcement for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10, would you have self nominated for the position?
INTERVIEWEE RESPONSE: Possibly.
(b)(6)

INTERVIEWER QUESTION: Did you load a resume in the Army Resume Builder (Resumix) anytime between January 2005 and December 2006?
INTERVIEWEE RESPONSE: No.
(b)(6)

INTERVIEWER QUESTION: Are you familiar with the USA Jobs website or the Army CPOL vacancy website?
INTERVIEWEE RESPONSE: I know of it, but have not used it because I don't have the expertise or access.
(b)(6)

INTERVIEWER QUESTION: Did you regularly search job vacancies on either the USA Jobs website or the Army CPOL vacancy website prior to December 2006?
INTERVIEWEE RESPONSE: No.
(b)(6)

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT (b)(6)	PAGE 1 OF 10 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF [] TAKEN AT [] DATED []"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b)(6) TAKEN AT WWT P Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Did you establish an automatic email notification client through either the USA Jobs website or the Army CPOL vacancy website prior to December 2006?

INTERVIEWEE RESPONSE: No, I don't have email capabilities at home or at work. We used to get job notifications hand carried by supervisors. That was the only way we had of knowing when jobs were open. (b)(6)

INTERVIEWER QUESTION: Did you contact (b)(6) or (b)(6) to request consideration after the vacancy announcement was closed?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Do you believe you are qualified for the position of Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: yes. (b)(6)

INTERVIEWER QUESTION: Are you aware of anyone receiving advance notification from anyone in your management chain of command that there would be a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10? If yes, who received the advance notification and how did you learn this information?

INTERVIEWEE RESPONSE: I learned from (b)(6) that (b)(6) secretary told (b)(6) she was not to notify him of the vacancy but that she was to notify (b)(6). Subsequent temporary openings for supervisor were filled by management without considering existing WWT P employees. (b)(6)

INTERVIEWER QUESTION: Did anyone notify you that (b)(6) A. Long was selected for the competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: No but (b)(6) told me. (b)(6)

INTERVIEWER QUESTION: Do you believe someone else was more qualified than (b)(6) A. Long for the position of Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: yes, those that I feel would be eligible or capable are myself, (b)(6), (b)(6), (b)(6), (b)(6)

INTERVIEWER QUESTION: Is there anything you would like to add regarding this specific competitive temporary promotion action?

INTERVIEWEE RESPONSE: It's my understanding that the supervisor must possess a Class III certificate to assume responsible charge. (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

(b)(6)

(b)(6)

PAGE 2 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you familiar with the maintenance requirements for the Waste Water Treatment Plant (WWTP) Compressor?

INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: What oil type/weight does the WWTP Compressor require?

INTERVIEWEE RESPONSE: requires non-emulsifying, non-foaming oil. (b)(6)

INTERVIEWER QUESTION: Is there a document or publication which specifies the oil type/weight to be used in the WWTP Compressor?

INTERVIEWEE RESPONSE: Yes, the Operation and Maintenance manual or technical manual; however, experience and conditions illustrate the need for change (b)(6)

INTERVIEWER QUESTION: Have you ever used an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: Yes, we are presently using standard 30 weight oil. (b)(6)

INTERVIEWER QUESTION: Have you ever observed another individual use an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: What is the purpose of the WWTP daily logs?

INTERVIEWEE RESPONSE: There are two different logs, operator's daily log and laboratory log. Historically the operators log is used to document conditions of operations occurring under that shift operators watch. (b)(6)

INTERVIEWER QUESTION: Who is responsible for updating the WWTP daily logs?

INTERVIEWEE RESPONSE: Each shift operator (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE (b)(6) OF 1 (b)(6) PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there written guidance on how to properly fill out WWTP daily logs?

INTERVIEWEE RESPONSE: *yes, he changed the format.* (b)(6)

INTERVIEWER QUESTION: Has anyone in your management chain of command ever trained you on how to fill out WWTP daily logs?

INTERVIEWEE RESPONSE: *No.* (b)(6)

INTERVIEWER QUESTION: Have you ever written anything in the WWTP daily logs that was not directly related to WWTP operations?

INTERVIEWEE RESPONSE: *yes* (b)(6)

(b)(6)

INTERVIEWER QUESTION: Have you ever observed anyone writing something in the WWTP daily logs that you felt at the time was inappropriate?

INTERVIEWEE RESPONSE: *yes. Things that come to mind are "peckerwood" entry and various superfluous remarks that were made that were not relevant to operations* (b)(6)

INTERVIEWER QUESTION: Who has access to the WWTP daily logs?

INTERVIEWEE RESPONSE: *Each operator and the supervisor* (b)(6)

INTERVIEWER QUESTION: Where are the WWTP daily logs stored?

INTERVIEWEE RESPONSE: *I have no idea anymore.* (b)(6)

INTERVIEWER QUESTION: How are the historical WWTP daily logs retained?

INTERVIEWEE RESPONSE: *Up until two or three years ago, there were logs kept in boxes back to inception of plant. Two or three years ago they were thrown out. I believe some of the missing log books are retained by management. (b)(6) removed some log books. Although I did not see him remove them, they went missing after he left the plant.* (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

(b)(6) (b)(6)
PAGE 4 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

8. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when sludge is removed from a digester?

INTERVIEWEE RESPONSE: We dump from bottom of #3 digester to drying beds through existing manifold on a normal dump. Testing/sampling occurs during the initial, mid-point, and ending of bed pour. (b)(6)

INTERVIEWER QUESTION: Are there written procedures for removing sludge from a digester?

INTERVIEWEE RESPONSE: There used to be, I don't know where the SOP's are if any. (b)(6)

INTERVIEWER QUESTION: Were you on duty on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: Yes, I was here during that time. (b)(6)

INTERVIEWER QUESTION: Were the normal procedures for removing sludge from a digester followed on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: Yes, to the best of my knowledge. During this time, Aiki closely adhered to contract requirements for the sampling. To the best of my knowledge they followed our sampling standards as well as their additional contract requirements. (b)(6)

INTERVIEWER QUESTION: Are there any circumstances under which it would be reasonable not to test sludge being moved from a digester to a drying bed?

INTERVIEWEE RESPONSE: No, those are cast in stone as far as I'm concerned. (b)(6)

INTERVIEWER QUESTION: Were you on duty when repairs were made to the swing arm on the floating lid of digester number three?

INTERVIEWEE RESPONSE: I knew the repairs were taking place, I was aware of it, and I was present. (b)(6)

INTERVIEWER QUESTION: Who made the repairs?

INTERVIEWEE RESPONSE: I did not observe that. (b)(6) and two men from Water or Sewage section. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

PAGE 5 OF 10 PAGES (b)(6) (b)(6)

STATEMENT OF (b)(6)

KEN AT WWTTP Cont Rm DATED 2007/04/27

8. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when repairs to the swing arm are required?

INTERVIEWEE RESPONSE: *Make sure that safety requirements were followed. Don't leave valves open, structure to hold, isolation of gas system, gas detector, non-sparking tools, qualified personnel, safety stabilizations,* (b)(6)

INTERVIEWER QUESTION: Did the individual who made the repairs to the swing arm following the normal repair procedures?

INTERVIEWEE RESPONSE: *No. I saw no gas evaluations taken, no operator was tasked with lock out/tag out of any valves, non-sparking tools were not used, and potentially indirect tools were used, i.e. power saw. Floating arm fell on lid.* (b)(6)

INTERVIEWER QUESTION: Was anyone injured during the repairs to the swing arm?

INTERVIEWEE RESPONSE: *Not to my knowledge.* (b)(6)

INTERVIEWER QUESTION: Do you believe the way in which the repairs of the swing arm were handled was unsafe?

INTERVIEWEE RESPONSE: *Yes, it was haphazard at best.* (b)(6)

INTERVIEWER QUESTION: Did you report a safety violation related to the repairs of the swing arm to anyone in your management chain of command or to anyone at the Installation Safety Office?

INTERVIEWEE RESPONSE: *Yes, (b)(6) reported it to Fort Lewis Safety officers and they did not reply.* (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed during a sewage back up?

INTERVIEWEE RESPONSE: *In the past, historically, we would go to a plug line or a wet well and check out to see if pumps were kicked out, working or not working. Currently, it's a gray area. We call the Sewage Department but we still get calls on lift stations that we refer to the sewage department.* (b)(6)

INTERVIEWER QUESTION: Are there written procedures for a sewage back up?

INTERVIEWEE RESPONSE: *No.* (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

(b)(6) (b)(6)
PAGE 6 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STAT EVENT (Continued)

INTERVIEWER QUESTION: Were you on duty on or about 3 January 2007 when there was a sewage back up?
INTERVIEWEE RESPONSE:

At this time, I don't recall. (b)(6)

INTERVIEWER QUESTION: Were the normal procedures followed during the sewage back up?

INTERVIEWEE RESPONSE: *It didn't happen on my shift to the best of my recollection.* (b)(6)

INTERVIEWER QUESTION: Does the WWTP have a 24/7 manning requirement?

INTERVIEWEE RESPONSE: *Yes.* (b)(6)

INTERVIEWER QUESTION: Are there ever occasions when the WWTP is staffed by a single employee?

INTERVIEWEE RESPONSE: *Yes.* (b)(6)

INTERVIEWER QUESTION: Have you ever known the WWTP to be left unattended?

INTERVIEWEE RESPONSE: *Yes, if they were told by supervisor to assess of fix facilities located outside the gate. 24/7 is permit required, stating operator presence "at all times".* (b)(6)

INTERVIEWER QUESTION: Have you ever left the WWTP unattended?

INTERVIEWEE RESPONSE: *Yes, under guidelines of previous supervisor.* (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed to treat water at Donovan Reservoir?

INTERVIEWEE RESPONSE: *I don't know.* (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 7 OF 10 PAGES

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are there written procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know (b)(6)

INTERVIEWER QUESTION: Have you ever observed an individual not follow the normal procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know (b)(6)

INTERVIEWER QUESTION: Who in your management chain of command is responsible for making site visits to the WWTP?

INTERVIEWEE RESPONSE: I don't know, there is no written guidance/procedure. (b)(6)

INTERVIEWER QUESTION: How regularly are they required to make site visits?

INTERVIEWEE RESPONSE: During (b)(6) tenure daily which tapered off to two times per week to eventually zero. (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, how often did individuals from your management chain of command visit the WWTP?

INTERVIEWEE RESPONSE: Perhaps (b)(6) and (b)(6) visited once or twice during the year. I can't remember if (b)(6) visited during that time. (b)(6)

INTERVIEWER QUESTION: Have you ever been dissatisfied with the frequency of visits by members of your management chain of command?

INTERVIEWEE RESPONSE: Yes, I'd like to see them quarterly. (b)(6)

INTERVIEWER QUESTION: Have you ever requested a member of your management chain of command conduct a site visit?

INTERVIEWEE RESPONSE: I have, but I can't remember when. (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 8 OF 10 PAGES

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there currently a shortage of repair parts or equipment for the WWTP?

INTERVIEWEE RESPONSE: Yes (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, was there ever a shortage of repair parts or equipment at the WWTP?

INTERVIEWEE RESPONSE: Yes (b)(6)

INTERVIEWER QUESTION: Who is responsible for monitoring repair part and equipment inventories?

INTERVIEWEE RESPONSE: First line supervisor (b)(6)

INTERVIEWER QUESTION: Who is responsible for identifying repair parts and equipment that needs to be ordered to replenish on hand inventories at the WWTP?

INTERVIEWEE RESPONSE: Operators (b)(6)

INTERVIEWER QUESTION: If you need a repair part or piece of equipment that is not available in on hand inventories, what procedures do you follow to request/order the part or piece of equipment?

INTERVIEWEE RESPONSE: Fill out Exhibit 6 and put in supervisor's box. (b)(6)

INTERVIEWER QUESTION: Have you ever submitted a request for a repair part or piece of equipment and had the request denied? If yes, did management explain why the request was denied?

INTERVIEWEE RESPONSE: I have requested parts and no action has been taken. I believe this was a result of ineptness of supervisor. Supervisor gave no response or gave excuses like no funds. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

PAGE 9 OF 10 PAGES (b)(6)

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you aware of any deficiencies at the portable latrine dumping site at North Fort?

INTERVIEWEE RESPONSE: I'm not aware of that problem (b)(6)

INTERVIEWER QUESTION: How did you learn of these deficiencies?

INTERVIEWEE RESPONSE: Not applicable (b)(6)

INTERVIEWER QUESTION: Did you notify anyone in your management chain of command of these deficiencies?

INTERVIEWEE RESPONSE: Not applicable. (b)(6)

INTERVIEWER QUESTION: Do you have any documents you would like to provide related to these questions?

INTERVIEWEE RESPONSE: I have some documents that I'll make available if they are requested. (b)(6)

AFFIDAVIT

I, (b)(6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 102 FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6)
(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 27th day of April, 2007 at WWTP Conference Room, Ft Lewis, WA 98433

ORGANIZATION OR ADDRESS

(Signature of Person Administering Oath)

Greta M. Powell
(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

AR 15-6 Investigating Officer
(Authority to Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 10 OF 10 PAGES

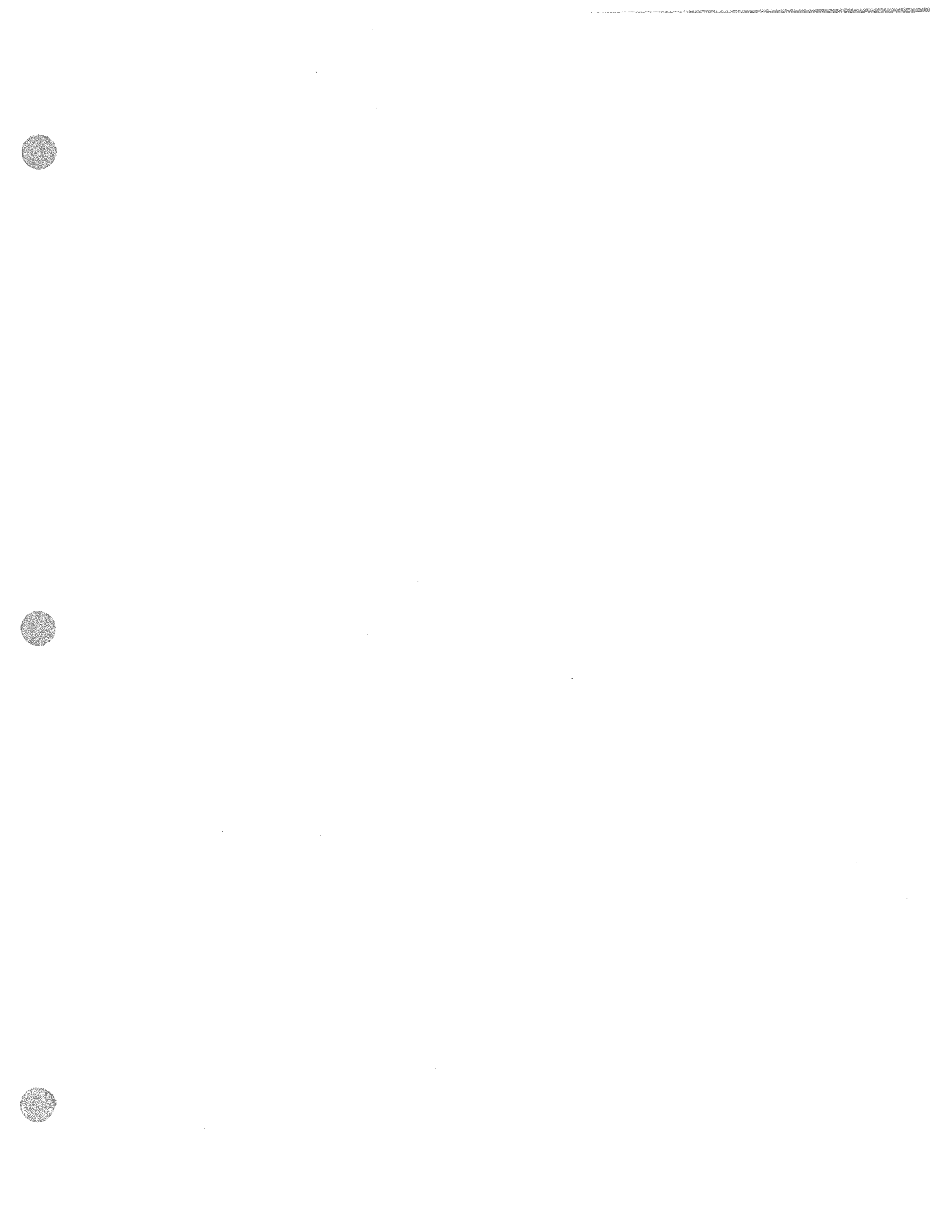
EXHIBIT 10

TAB 8

BOOK I OF 3

CD- EXH 10

TAB 8



AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
 Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name: (b)(6)	Date: 4/27/2007
Rank: WG-09	Time: 1400
Organization: (b)(6)	Location: WWTP Conference Room
Phone: (b)(6)	Email:
Union Representative: IAM 282	Union POC Info: (b)(6)

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

Documents Requested:
 None

Interview Notes:

Refer to question/response format on Sworn Statement. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your social security number is voluntary.

1. LOCATION Waste Water Treatment Plant (WWTP)	2. DATE (YYYYMMDD) 20070427	3. TIME 1400	4. FILE NUMBER N/A
5. LAST NAME FIRST NAME MIDDLE NAME (b)(6)	(b)(6)	6. SSN (b)(6)	7. GRADE/STATUS WG9/DA Civilian
8. ORGANIZATION OR ADDRESS WWTP, Fort Lewis, WA 98433			

9. I, (b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

INTERVIEWER QUESTION: What is your official position title, series and grade?
INTERVIEWEE RESPONSE: Repairer Systems Operator, WG-09 STEPS 5 (b)(6)

INTERVIEWER QUESTION: Did anyone in your management chain of command notify you that there would be a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?
INTERVIEWEE RESPONSE: We assumed they would advertise the job, but we never were informed they did. Previously had copy job announcements would be given to us, but that did not happen. They said that it was on the internet. (b)(6)

INTERVIEWER QUESTION: If you had been aware of the vacancy announcement for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10, would you have self nominated for the position?
INTERVIEWEE RESPONSE: No, too much paperwork and responsibility. (b)(6)

INTERVIEWER QUESTION: Did you load a resume in the Army Resume Builder (Resumix) anytime between January 2005 and December 2006?
INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Are you familiar with the USA Jobs website or the Army CPOL vacancy website?
INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Did you regularly search job vacancies on either the USA Jobs website or the Army CPOL vacancy website prior to December 2006?
INTERVIEWEE RESPONSE: No. (b)(6)

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT (b)(6)	(b)(6)
		PAGE 1 OF 10 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

8. STATEMENT (Continued)

INTERVIEWER QUESTION: Did you establish an automatic email notification client through either the USA Jobs website or the Army CPOL vacancy website prior to December 2006?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Did you contact (b)(6) or WCPOC to request consideration after the vacancy announcement was closed?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Do you believe you are qualified for the position of Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: Technically yes, but I wouldn't have applied for it. (b)(6)

INTERVIEWER QUESTION: Are you aware of anyone receiving advance notification from anyone in your management chain of command that there would be a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10? If yes, who received the advance notification and how did you learn this information?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Did anyone notify you that (b)(6) A. (b)(6) was selected for the competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: I don't remember anybody from up above telling us but (b)(6) might have told me. (b)(6)

INTERVIEWER QUESTION: Do you believe someone else was more qualified than (b)(6) A. (b)(6) for the position of Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: Yes, we have people with higher grades of certificates. (b)(6) and (b)(6) you are supposed to be a group III to be a supervisor and (b)(6) was not. (b)(6)

INTERVIEWER QUESTION: Is there anything you would like to add regarding this specific competitive temporary promotion action?

INTERVIEWEE RESPONSE: No. (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you familiar with the maintenance requirements for the Waste Water Treatment Plant (WWTP) Compressor?

INTERVIEWEE RESPONSE: yes. (b)(6)

INTERVIEWER QUESTION: What oil type/weight does the WWTP Compressor require?

INTERVIEWEE RESPONSE: Either 40 or 50 weight. (b)(6)

INTERVIEWER QUESTION: Is there a document or publication which specifies the oil type/weight to be used in the WWTP Compressor?

INTERVIEWEE RESPONSE: Repair and maintenance manual (b)(6)

INTERVIEWER QUESTION: Have you ever used an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: yes, because it was the only oil we had. (b)(6)

INTERVIEWER QUESTION: Have you ever observed another individual use an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: yes. (b)(6)

INTERVIEWER QUESTION: What is the purpose of the WWTP daily logs?

INTERVIEWEE RESPONSE: keep a record of what you've done on shift for other operators to see. It's an official document. (b)(6)

INTERVIEWER QUESTION: Who is responsible for updating the WWTP daily logs?

INTERVIEWEE RESPONSE: All the operators. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6) PAGE 3 OF 10 PAGES

(b)(6)

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there written guidance on how to properly fill out WWTP daily logs?

INTERVIEWEE RESPONSE: I don't think so. (b)(6)

INTERVIEWER QUESTION: Has anyone in your management chain of command ever trained you on how to fill out WWTP daily logs?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Have you ever written anything in the WWTP daily logs that was not directly related to WWTP operations?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Have you ever observed anyone writing something in the WWTP daily logs that you felt at the time was inappropriate?

INTERVIEWEE RESPONSE: There's been nick names written but nothing terrible. For example, "Yippe Ka Ya", humor stuff. (b)(6)

INTERVIEWER QUESTION: Who has access to the WWTP daily logs?

INTERVIEWEE RESPONSE: All the operators. (b)(6)

INTERVIEWER QUESTION: Where are the WWTP daily logs stored?

INTERVIEWEE RESPONSE: They are supposed to be stored on site. In boxes in back room, but I'm not sure where they are now. (b)(6)

INTERVIEWER QUESTION: How long are the historical WWTP daily logs retained?

INTERVIEWEE RESPONSE: I don't know the exact number of years. That's always been the supervisor's job. (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

STATEMENT OF (b)(6)

TAKEN AT WWT P Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when sludge is removed from a digester?

INTERVIEWEE RESPONSE: Pump from digester to bed. Take samples at beginning, middle, and end of pour. (b)(6)

INTERVIEWER QUESTION: Are there written procedures for removing sludge from a digester?

INTERVIEWEE RESPONSE: I'm sure there's an SOP around somewhere. Best person to ask supervisor. (b)(6)

INTERVIEWER QUESTION: Were you on duty on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: Yes. (b)(6)

INTERVIEWER QUESTION: Were the normal procedures for removing sludge from a digester followed on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: Yes. They did the required tests for suspended solids. (b)(6)

INTERVIEWER QUESTION: Are there any circumstances under which it would be reasonable not to test sludge being moved from a digester to a drying bed?

INTERVIEWEE RESPONSE: No, you have to do them. (b)(6)

INTERVIEWER QUESTION: Were you on duty when repairs were made to the swing arm on the floating lid of digester number three?

INTERVIEWEE RESPONSE: I came in after it was down. (b)(6)

INTERVIEWER QUESTION: Who made the repairs?

INTERVIEWEE RESPONSE: Water and Sewer Shop, I think. (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 5 OF 10 PAGES

(b)(6)

STATEMENT OF

(b)(6)

TAKEN AT

WWTP Conf Rm

DATED

2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when repairs to the swing arm are required?

INTERVIEWEE RESPONSE: I don't know other than checking for gas and locking out valves. (b)(6)

INTERVIEWER QUESTION: Did the individual who made the repairs to the swing arm following the normal repair procedures?

INTERVIEWEE RESPONSE: I wasn't here so I don't know. (b)(6)

INTERVIEWER QUESTION: Was anyone injured during the repairs to the swing arm?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Do you believe the way in which the repairs of the swing arm were handled was unsafe?

INTERVIEWEE RESPONSE: I wasn't here so I don't know. (b)(6)

INTERVIEWER QUESTION: Did you report a safety violation related to the repairs of the swing arm to anyone in your management chain of command or to anyone at the Installation Safety Office?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed during a sewage back up?

INTERVIEWEE RESPONSE: There are none for backup sewage. If we get a call about oil spill, we stop operation in head works so we don't mix oil with everything. (b)(6)

INTERVIEWER QUESTION: Are there written procedures for a sewage back up?

INTERVIEWEE RESPONSE: No, I don't think so. (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 6 OF 10 PAGES

(b)(6)

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Were you on duty on or about 3 January 2007 when there was a sewage back up?

INTERVIEWEE RESPONSE: yes, I could have been here. (b)(6)

INTERVIEWER QUESTION: Were the normal procedures followed during the sewage back up?

INTERVIEWEE RESPONSE: yes, I'm sure they were. (b)(6)

INTERVIEWER QUESTION: Does the WWTP have a 24/7 manning requirement?

INTERVIEWEE RESPONSE: yes, in our permit. (b)(6)

INTERVIEWER QUESTION: Are there ever occasions when the WWTP is staffed by a single employee?

INTERVIEWEE RESPONSE: yes, graveyard and swing shift (b)(6)

INTERVIEWER QUESTION: Have you ever known the WWTP to be left unattended?

INTERVIEWEE RESPONSE: yes, when we get a call on a lift station alarm. (b)(6)

INTERVIEWER QUESTION: Have you ever left the WWTP unattended?

INTERVIEWEE RESPONSE: yes, when called on other work assignments. (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed to treat water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

STATEMENT OF

(b)(6)

TAKEN AT

WWTP Conf Rm

DATED

2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are there written procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know. (b)(6)

INTERVIEWER QUESTION: Have you ever observed an individual not follow the normal procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know. (b)(6)

INTERVIEWER QUESTION: Who in your management chain of command is responsible for making site visits to the WWTP?

INTERVIEWEE RESPONSE: I don't think they are required to. (b)(6)

INTERVIEWER QUESTION: How regularly are they required to make site visits?

INTERVIEWEE RESPONSE: I don't think they are required to visit (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, how often did individuals from your management chain of command visit the WWTP?

INTERVIEWEE RESPONSE: Once or twice by (b)(6) (b)(6) and (b)(6)
(b)(6) (b)(6)

INTERVIEWER QUESTION: Have you ever been dissatisfied with the frequency of visits by members of your management chain of command?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Have you ever requested a member of your management chain of command conduct a site visit?

INTERVIEWEE RESPONSE: No. (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE

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OF

10

PAGES

(b)(6)

STATEMENT OF (b)(6) TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there currently a shortage of repair parts or equipment for the WWTP?

INTERVIEWEE RESPONSE: yes, there's some work that needs to be taken care of. Pumps need to be rebuilt. (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, was there ever a shortage of repair parts or equipment at the WWTP?

INTERVIEWEE RESPONSE: yes. (b)(6)

INTERVIEWER QUESTION: Who is responsible for monitoring repair part and equipment inventories?

INTERVIEWEE RESPONSE: Nobody's really in charge of part inventory. (b)(6)

INTERVIEWER QUESTION: Who is responsible for identifying repair parts and equipment that needs to be ordered to replenish on hand inventories at the WWTP?

INTERVIEWEE RESPONSE: The operators. (b)(6)

INTERVIEWER QUESTION: If you need a repair part or piece of equipment that is not available in on hand inventories, what procedures do you follow to request/order the part or piece of equipment?

INTERVIEWEE RESPONSE: Turn in Exhibit 6 and see what you get ordered. (b)(6)

INTERVIEWER QUESTION: Have you ever submitted a request for a repair part or piece of equipment and had the request denied? If yes, did management explain why the request was denied?

INTERVIEWEE RESPONSE: No. (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

PAGE 9 OF 10 PAGES

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you aware of any deficiencies at the portable latrine dumping site at North Fort?
INTERVIEWEE RESPONSE: No (b)(6)

INTERVIEWER QUESTION: How did you learn of these deficiencies?
INTERVIEWEE RESPONSE: Not applicable (b)(6)

INTERVIEWER QUESTION: Did you notify anyone in your management chain of command of these deficiencies?
INTERVIEWEE RESPONSE: Not applicable (b)(6)

INTERVIEWER QUESTION: Do you have any documents you would like to provide related to these questions?
INTERVIEWEE RESPONSE: No (b)(6)

I, (b)(6) (b)(6) AFFIDAVIT

HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 10. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6)
(Signature of Person Making Statement)

WITNESSES:

ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 27th day of April, 2007 at WWTP Conf Rm, Fort Lewis, WA 98433
[Signature]
(Signature of Person Administering Oath)
Greta M. Powell
(Typed Name of Person Administering Oath)
AR 15-6 Investigating Officer
(Authority To Administer Oaths)
(b)(6)



AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
 Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name:	<input type="text" value="(b)(6)"/>	Date:	4/27/2007
Rank:	WG-09	Time:	1400
Organization:	<input type="text" value="(b)(6)"/>	Location:	WWTP Conference Room
Phone:	<input type="text" value="(b)(6)"/>	Email:	
Union Representative:	IAM 282	Union POC Info:	<input type="text" value="(b)(6)"/>

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

Documents Requested:
None

Interview Notes:

Refer to question/response format on Sworn Statement. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.

EXHIBIT 10

TAB 9

BOOK I OF 3

CD- EXH 10

TAB 9



AB 1E-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division

Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name: (b)(6) Date: 4/27/2007
Rank: WG-09 Time: 1500
Organization: (b)(7) Location: WWTP Conference Room
Phone: (b)(6) Email:
Union Representative: IAM 282 Union POC Info: (b)(6)

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

Documents Requested:

None

Interview Notes:

Refer to question/response format on Sworn Statement. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your social security number is voluntary.

1. LOCATION <i>Waste Water Treatment Plant (WWTP)</i>	2. DATE (YYYYMMDD) <i>(b)(6)</i>	3. TIME <i>1500</i>	4. FILE NUMBER <i>N/A</i>
5. LAST NAME, FIRST NAME, MIDDLE NAME <i>(b)(6)</i>	6. SSN <i>(b)(6)</i>	7. GRADE/STATUS <i>WG9/DA Civilian</i>	
8. ORGANIZATION OR ADDRESS <i>WWTP Fort Lewis, WA 98433</i>			

9. *(b)(6)*, WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

INTERVIEWER QUESTION: What is your official position title, series and grade?
INTERVIEWEE RESPONSE: *Waste Water Treatment Plant Repairer Operator, WG-09 STEPS* *(b)(6)*

INTERVIEWER QUESTION: Did anyone in your management chain of command notify you that there would be a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?
INTERVIEWEE RESPONSE: *No.* *(b)(6)*

INTERVIEWER QUESTION: If you had been aware of the vacancy announcement for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10, would you have self nominated for the position?
INTERVIEWEE RESPONSE: *I would have thought about it, but due to license level I probably wouldn't have got it. It would have been nice to know it was there/available.* *(b)(6)*

INTERVIEWER QUESTION: Did you load a resume in the Army Resume Builder (Resumix) anytime between January 2005 and December 2006?
INTERVIEWEE RESPONSE: *Yes, I did.* *(b)(6)*

INTERVIEWER QUESTION: Are you familiar with the USA Jobs website or the Army CPOL vacancy website?
INTERVIEWEE RESPONSE: *Vaguely* *(b)(6)*

INTERVIEWER QUESTION: Did you regularly search job vacancies on either the USA Jobs website or the Army CPOL vacancy website prior to December 2006?
INTERVIEWEE RESPONSE: *No, I like my job.* *(b)(6)*

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT <i>(b)(6)</i>	PAGE 1 OF <i>10</i> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Did you establish an automatic email notification client through either the USA Jobs website or the Army CPOL vacancy website prior to December 2006?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Did you contact (b)(6) or (b)(6) to request consideration after the vacancy announcement was closed?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Do you believe you are qualified for the position of Utility systems Repairer-Operator Supervisor, WS-4742-10? (b)(6)

INTERVIEWEE RESPONSE: Not really, because I only have a level 2 license. (b)(6)

INTERVIEWER QUESTION: Are you aware of anyone receiving advance notification from anyone in your management chain of command that there would be a job announcement from 23 - 27 DEC 06 for a competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10? If yes, who received the advance notification and how did you learn this information?

INTERVIEWEE RESPONSE: No, I did not know. (b)(6)

INTERVIEWER QUESTION: Did anyone notify you that (b)(6) A. (b)(6) was selected for the competitive temporary promotion as a Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Do you believe someone else was more qualified than (b)(6) for the position of Utility systems Repairer-Operator Supervisor, WS-4742-10?

INTERVIEWEE RESPONSE: Yes, (b)(6), (b)(6), (b)(6) were more qualified. There are others as well. (b)(6)

INTERVIEWER QUESTION: Is there anything you would like to add regarding this specific competitive temporary promotion action?

INTERVIEWEE RESPONSE: It was kind of strange when (b)(6) said "I'm your boss" while laughing. I was shocked he got the job. (b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

(b)(6)

(b)(6)

PAGE 2 OF 10 PAGES

STATEMENT OF

(b)(6)

TAKEN AT

WWTP Conf Rm

DATED

2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you familiar with the maintenance requirements for the Waste Water Treatment Plant (WWTP) Compressor?

INTERVIEWEE RESPONSE: *yes.*

(b)(6)

INTERVIEWER QUESTION: What oil type/weight does the WWTP Compressor require?

INTERVIEWEE RESPONSE: *By the book 30 weight marine oil, be nice we could get food grade oil.*

(b)(6)

INTERVIEWER QUESTION: Is there a document or publication which specifies the oil type/weight to be used in the WWTP Compressor?

INTERVIEWEE RESPONSE: *In the operations and maintenance manual*

(b)(6)

INTERVIEWER QUESTION: Have you ever used an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: *Yes, it was what we had.*

(b)(6)

INTERVIEWER QUESTION: Have you ever observed another individual use an oil type/weight in the WWTP Compressor that was different from the required oil type/weight?

INTERVIEWEE RESPONSE: *Yes, availability*

(b)(6)

INTERVIEWER QUESTION: What is the purpose of the WWTP daily logs?

INTERVIEWEE RESPONSE: *Status of the plant, if it isn't noted as an exception then everything is good.*

(b)(6)

INTERVIEWER QUESTION: Who is responsible for updating the WWTP daily logs?

INTERVIEWEE RESPONSE: *All the operators, if multiple people on shift then the designated operator.*

(b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 3 OF 10 PAGES

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there written guidance on how to properly fill out WWTP daily logs?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Has anyone in your management chain of command ever trained you on how to fill out WWTP daily logs?

INTERVIEWEE RESPONSE: Yes, (b)(6). Each new boss changes it to their own way. (b)(6)

INTERVIEWER QUESTION: Have you ever written anything in the WWTP daily logs that was not directly related to WWTP operations?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Have you ever observed anyone writing something in the WWTP daily logs that you felt at the time was inappropriate?

INTERVIEWEE RESPONSE: Yes, (b)(6) called me a "fool" and called lab people "fools". Several different incidents of name calling and slang use. Comments were before he was a supervisor. (b)(6) was the supervisor. (b)(6)

INTERVIEWER QUESTION: Who has access to the WWTP daily logs?

INTERVIEWEE RESPONSE: Everybody. (b)(6)

INTERVIEWER QUESTION: Where are the WWTP daily logs stored?

INTERVIEWEE RESPONSE: I don't know. They should be in the building somewhere, but there is a whole bunch missing. (b)(6)

INTERVIEWER QUESTION: How long are the historical WWTP daily logs retained?

INTERVIEWEE RESPONSE: I think it's five years, but I'm not sure. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

(b)(6)

9. STAT EMNT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when sludge is removed from a digester?

(b)(6)

INTERVIEWEE RESPONSE: Pour and grab composition samples at beginning, middle and end.

INTERVIEWER QUESTION: Are there written procedures for removing sludge from a digester?

(b)(6)

INTERVIEWEE RESPONSE: I don't know, I learned from other operators.

INTERVIEWER QUESTION: Were you on duty on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: They were doing it and I had to work around it, so I think I was here.

(b)(6)

INTERVIEWER QUESTION: Were the normal procedures for removing sludge from a digester followed on or about 14 November 2006 when Cascade personnel moved sludge from digester number one to the drying beds?

INTERVIEWEE RESPONSE: Yes, best of my knowledge.

(b)(6)

INTERVIEWER QUESTION: Are there any circumstances under which it would be possible not to test sludge being moved from a digester to a drying bed?

INTERVIEWEE RESPONSE: No, it's a procedure.

(b)(6)

INTERVIEWER QUESTION: Were you on duty when repairs were made to the swing arm on the floating lid of digester number three?

INTERVIEWEE RESPONSE: No.

(b)(6)

INTERVIEWER QUESTION: Who made the repairs?

INTERVIEWEE RESPONSE: Water and Sewer. I was off shift at the time.

(b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 5 OF 10 PAGES

STATEMENT OF (b)(6)

TAKEN AT WWTP Conf Rm DATED 2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: What procedures are normally followed when repairs to the swing arm are required?

INTERVIEWEE RESPONSE: Check gas, isolate gas, let someone else know you are here, and remove all unnecessary personnel. Use caution and qualified personnel and proper equipment - non-sparking tools, a lift for heavy stuff. (b)(6)

INTERVIEWER QUESTION: Did the individual who made the repairs to the swing arm following the normal repair procedures?

INTERVIEWEE RESPONSE: No, they had no lift. I don't know what kind of tools they used because I wasn't there. I heard they didn't evacuate the plant from the on duty operator. (b)(6)

INTERVIEWER QUESTION: Was anyone injured during the repairs to the swing arm?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: Do you believe the way in which the repairs of the swing arm were handled was unsafe?

INTERVIEWEE RESPONSE: yes. (b)(6)

INTERVIEWER QUESTION: Did you report a safety violation related to the repairs of the swing arm to anyone in your management chain of command or to anyone at the Installation Safety Office?

INTERVIEWEE RESPONSE: No. (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed during a sewage back up?

INTERVIEWEE RESPONSE: If there is a spill/back up significant, they call us and we sometimes put in log if drastic. Let's us know to watch plant more closely. (b)(6)

INTERVIEWER QUESTION: Are there written procedures for a sewage back up?

INTERVIEWEE RESPONSE: No. (b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

(b)(6)
PAGE 6 OF 10 PAGES

9. STAT FMENT (Continued)

INTERVIEWER QUESTION: Were you on duty on or about 3 January 2007 when there was a sewage back up?

INTERVIEWEE RESPONSE: yes. (b)(6)

INTERVIEWER QUESTION: Were the normal procedures followed during the sewage back up?

INTERVIEWEE RESPONSE: yes. (b)(6)

INTERVIEWER QUESTION: Does the WWTP have a 24/7 manning requirement?

INTERVIEWEE RESPONSE: yes (b)(6)

INTERVIEWER QUESTION: Are there ever occasions when the WWTP is staffed by a single employee?

INTERVIEWEE RESPONSE: yes. (b)(6)

INTERVIEWER QUESTION: Have you ever known the WWTP to be left unattended?

INTERVIEWEE RESPONSE: yes, when ordered out to take care of lift stations or clean lines. (b)(6)

INTERVIEWER QUESTION: Have you ever left the WWTP unattended?

INTERVIEWEE RESPONSE: yes, only when ordered to for other work. I think leaving plant unattended violates our permit. (b)(6)

INTERVIEWER QUESTION: What procedures are normally followed to treat water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I have no clue (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 7 OF 10 PAGES

STATEMENT OF

(b)(6)

TAKEN AT

WWTP Conf Rm

DATED

2007/04/27

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Are there written procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know (b)(6)

INTERVIEWER QUESTION: Have you ever observed an individual not follow the normal procedures for treating water at Donovan Reservoir?

INTERVIEWEE RESPONSE: I don't know. (b)(6)

INTERVIEWER QUESTION: Who in your management chain of command is responsible for making site visits to the WWTP?

INTERVIEWEE RESPONSE: First line supervisor. (b)(6)

INTERVIEWER QUESTION: How regularly are they required to make site visits?

INTERVIEWEE RESPONSE: Once a day at most or as needed. They should at least be have a few times every week. (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, how often did individuals from your management chain of command visit the WWTP?

INTERVIEWEE RESPONSE: Not very often. (b)(6)

INTERVIEWER QUESTION: Have you ever been dissatisfied with the frequency of visits by members of your management chain of command?

INTERVIEWEE RESPONSE: Yes, want more visits but casual not formal (b)(6)

INTERVIEWER QUESTION: Have you ever requested a member of your management chain of command conduct a site visit?

INTERVIEWEE RESPONSE: No. (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 8 OF 10 PAGES

9. STATEMENT (Continued)

INTERVIEWER QUESTION: Is there currently a shortage of repair parts or equipment for the WWTP?

INTERVIEWEE RESPONSE: *yes. we need parts for sludge pumps, grit chambers, and other stuff.* (b)(6)

INTERVIEWER QUESTION: During the time period January 2006 to January 2007, was there ever a shortage of repair parts or equipment at the WWTP?

INTERVIEWEE RESPONSE: *yes.* (b)(6)

INTERVIEWER QUESTION: Who is responsible for monitoring repair part and equipment inventories?

INTERVIEWEE RESPONSE: *All the operators.* (b)(6)

INTERVIEWER QUESTION: Who is responsible for identifying repair parts and equipment that needs to be ordered to replenish on hand inventories at the WWTP?

INTERVIEWEE RESPONSE: *All the operators.* (b)(6)

INTERVIEWER QUESTION: If you need a repair part or piece of equipment that is not available in on hand inventories, what procedures do you follow to request/order the part or piece of equipment?

INTERVIEWEE RESPONSE: *Fill out Exhibit 6 and give to first line supervisor, has the credit card. Ju* (b)(6)

INTERVIEWER QUESTION: Have you ever submitted a request for a repair part or piece of equipment and had the request denied? If yes, did management explain why the request was denied?

INTERVIEWEE RESPONSE: *Not denied, just never filled and don't know why. Asked supervisor why not filled and got no response. I did not pursue further.* (b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(6)

PAGE 9 OF 10 PAGES

8. STATEMENT (Continued)

INTERVIEWER QUESTION: Are you aware of any deficiencies at the portable latrine dumping site at North Fort?

INTERVIEWEE RESPONSE: No (b)(6)

INTERVIEWER QUESTION: How did you learn of these deficiencies?

INTERVIEWEE RESPONSE: Not applicable (b)(6)

INTERVIEWER QUESTION: Did you notify anyone in your management chain of command of these deficiencies?

INTERVIEWEE RESPONSE: Not applicable. (b)(6)

INTERVIEWER QUESTION: Do you have any documents you would like to provide related to these questions?

INTERVIEWEE RESPONSE: No. (b)(6)

(b)(6)

(b)(6)

AFFIDAVIT

I, (b)(6), HAVE READ OR HAVE READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 10. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE (b)(6)

(b)(6)
(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 27th day of April, 2007 at WWTP Conf Rm, Fort Lewis, WA 98433

(b)(6)
(Signature of Person Administering Oath)

Greta M. Powell

(Typed Name of Person Administering Oath)

AR 15-6 Investigating Officer

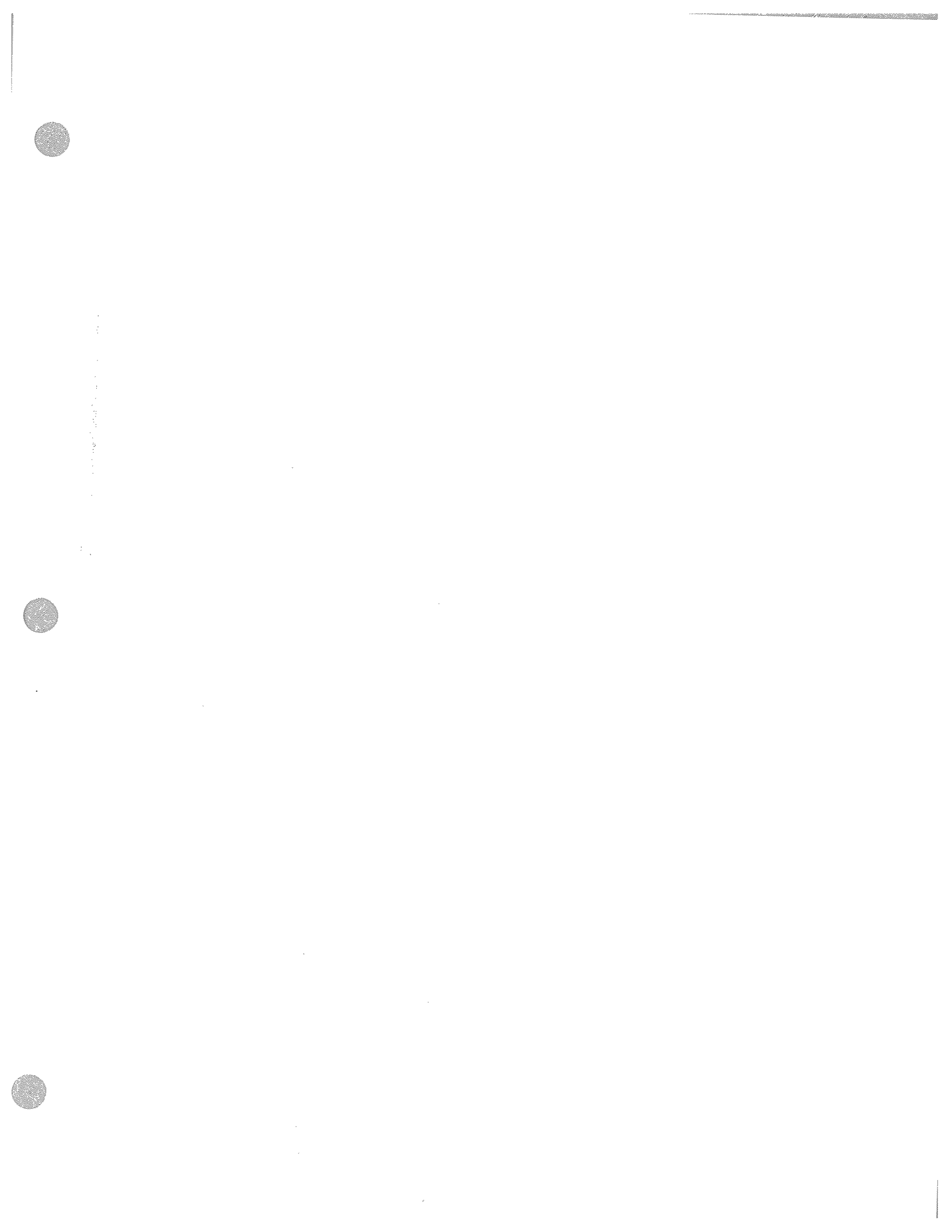
(Authority To Administer Oaths)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 10 OF 10 PAGES



AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division

Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name: (b)(6) Date: 4/27/2007
Rank: WG-09 Time: 1500
Organization: (b)(6) Location: WWTP Conference Room
Phone: (b)(6) Email: (b)(6)
Union Representative: IAM 282 Union POC Info: (b)(6)

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

Documents Requested:
None

Interview Notes:

Refer to question/response format on Sworn Statement. Any information provided not relevant to sworn statement questions will be listed below this note for future reference/follow up.

EXHIBIT 10

TAB 10

BOOK I OF 3

CD- EXH 10

TAB 10



AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division

Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name: (b)(6) Date: 4/30/2007
 Rank: YA-02 Time: 0930
 Organization: WCPOC, Fort Huachuca Location: Telephonic (Rm 211, Bldg 2027D)
 Phone: (b)(6) Email: (b)(6) (b) CPOCWCP
 Union Representative: N/A Union POC Info: N/A

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO

Documents Requested:

Any email correspondence related to Army vacancy announcement WTEU05004308 for Utility Systems Repairer-Operator Supervisor, WS-4742-10. Specifically, any emails sent to (b)(6) (b)(6) (b)(6) (b)(6) (b)(6) or (b)(6)

- Subject stated she no longer had any email correspondence

Interview Notes:

Refer to question/response format below (Due to geographic separation/telephonic interview, Memorandum for Record will be prepared in lieu of a sworn statement).

1. Interviewer Question: Did you process the Recruit-Fill RPA for Army Vacancy Announcement WTEU05004308 for Utility Systems Repairer-Operator Supervisor, WS-4742-10 in December 2005?

Interviewee Response: Yes. I processed the non-competitive temporary promotion effective 4 SEP 05, not to exceed (NTE) 2 JAN 06. I processed the competitive temporary promotion effective 3 JAN 06 NTE 2 JAN 07. Someone else on staff processed the non-competitive permanent promotion action on 2 APR 06.

2. Interviewer Question: Did you select the dates the vacancy announcement would be open (23 - 27 DEC 05)?

Interviewee Response: No. Management selected a five day announcement period. While this is the shortest announcement period, it is not unusual for managers to request a short period. The dates the announcement was open was not a management decision but rather a result of the timed action processing system used by WCPOC which requires us to process a vacancy announcement within a certain number of days. Although we do not open or close vacancy announcements on Federal Holiday's, we can open them before a federal holiday so that a holiday period is included in the vacancy announcement period.

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Page 1 of 2 Pages

AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
Appointing Official: COL Cynthia A. Murphy, Garrison Commander

3. Interviewer Question: Why did you write "Please let me know on Tuesday if you feel this needs to be extended to be open for a longer period of time?" in an email to (b)(6) (b)(7)(F) on 23 DEC 05 with subject line: "Utility Systems Repairer-Operator Supv, WG-4742-10, RPA 004308"?

Interviewee Response: I normally call the hiring official the day before a vacancy announcement to go over any questions. If I am unable to reach the hiring official, I email them/her an advance copy of the announcement with any questions.

4. Interviewer Question: On what date did management receive a referral list?

Interviewee Response: Issued on 28 DEC 05, no time stamp available.

5. Interviewer Question: On what date did management make a selection and who was selected?

Interviewee Response: 28 DEC 05, no time stamp available.

6. Interviewer Question: Who contacted (b)(6) to offer the position?

Interviewee Response: The Fort Lewis CPAC.

7. Interviewer Question: Whose responsibility was it to verify that (b)(6) held the credentials required by a vacancy announcement (i.e. WA driver's license, certifications)?

Interviewee Response: The Fort Lewis CPAC. There was no formal process by which CPAC would notify WCPOC that verification took place.

8. Interviewer Question: Were (b)(6) (b)(7)(F) credentials verified before an SF50 was generated?

Interviewee Response: I don't know.

9. Interviewer Question: Based on your personal experience, was there anything unusual about the way in which this Recruit-Fill action was handled?

Interviewee Response: No, not at all.



DEPARTMENT OF THE ARMY
INSTALLATION MANAGEMENT AGENCY
HEADQUARTERS, UNITED STATES ARMY GARRISON
BOX 339500, MAIL STOP 16
FORT LEWIS WASHINGTON 98433-9500

REPLY TO
ATTENTION OF

IMNW-LEW-PL-S

30 April 2007

MEMORANDUM FOR RECORD

SUBJECT: AR 15-6 Interview of (b)(6) YA-02 Department of the Army
Civilian (DAC), West Civilian Personnel Operations Center (WCPOC)

1. A telephonic interview of YA-02/DAC (b)(6) was conducted by the undersigned on 30 APR 07 at 0930 hours. A telephonic interview was conducted due to geographic separation.

2. (b)(6) responses to the questions asked were as follows:

a. Interviewer Question: Did you process the Recruit-Fill RPA for Army Vacancy Announcement WTEU05004308 for Utility Systems Repairer-Operator Supervisor, WS-4742-10 in December 2005?

Interviewee Response: Yes. First, a non-competitive temporary promotion action was processed for (b)(6) (b)(6) by (b)(6) and effective on 4 SEP 05 with a not to exceed (NTE) date of 2 JAN 06. Second, a competitive temporary promotion action was processed by (b)(6) (myself, (b)(6) which resulted in the selection of (b)(6) A. (b)(6) The action was effective on 3 JAN 06 with a NTE date of 2 JAN 07. Third, a non-competitive permanent promotion was processed by (b)(6) with an effective date of 2 APR 06.

b. Interviewer Question: Did you select the dates the vacancy announcement would be open (23 - 27 DEC 05)?

Interviewee Response: No. The hiring official selected a five day announcement period. While this is the shortest announcement period available, it is not unusual for hiring officials to request a short announcement period. The dates the vacancy announcement was open, 23 - 27 DEC 05, was not a hiring official decision but rather a result of the timed action processing system used by WCPOC. This system requires us to process a vacancy announcement within a certain number of days of receipt of the action request by the hiring official. Although we do not open or close vacancy announcements on federal holidays, we do routinely open them around federal holidays. This means that it is not unusual for a vacancy announcement open period to include a federal holiday such as Thanksgiving and Christmas.

c. Interviewer Question: Why did you write "Please let me know on Tuesday if you feel this needs to be extended to be open for a longer period of time?" in an email to (b)(6) (b)(6) on 23 DEC 05 with subject line: "Utility Systems Repairer-Operator Supv, WG-4742-10, RPA 004308"?

MEMORANDUM FOR RECORD

SUBJECT: AR 15-6 Interview of (b)(6) YA-02 Department of the Army Civilian (DAC), West Civilian Personnel Operations Center (b)(6)

Interviewee Response: It is a normal business practice to send an email copy of the vacancy announcement to the hiring official one day before the vacancy announcement opens. It is also a normal business practice for me to call the hiring official one day before the vacancy announcement opens to answer any questions the hiring official may have. When I am unable to reach the hiring official by telephone, I include any questions and/or comments I would have covered during the routine telephone call in my email to the hiring official. This comment was a normal comment to let the hiring official know that, if necessary, he could change the amount of time the vacancy announcement was open.

d. Interviewer Question: On what date did management receive a referral list?

Interviewee Response: A referral list was issued on 28 DEC 05. No time stamp is available on referral lists.

e. Interviewer Question: On what date did management make a selection and who was selected?

Interviewee Response: The hiring official made a selection on 28 DEC 05. No time stamp is available on hiring official selections.

f. Interviewer Question: Who contacted (b)(6) to offer the position?

Interviewee Response: The Fort Lewis Civilian Personnel Advisory Center (CPAC).

g. Interviewer Question: Whose responsibility was it to verify that (b)(6) held the credentials required by a vacancy announcement (i.e. WA driver's license, certifications)?

Interviewee Response: The Fort Lewis CPAC. There was no formal process by which the Fort Lewis CPAC would notify WCPOC that verification of credentials took place.

h. Interviewer Question: Were (b)(6) credentials verified before an AF50 was generated?

Interviewee Response: I do not know.

i. Interviewer Question: Based on your personal experience, was there anything unusual about the way in which this Recruit-Fill action was handled?

Interviewee Response: No, not at all.

MEMORANDUM FOR RECORD

**SUBJECT: AR 15-6 Interview of (b)(6); YA-02 Department of the Army
Civilian (DAC), West Civilian Personnel Operations Center (WCPOC)**

3. POC is the undersigned at DSN 357-0867/0458 or COMM (253) 967-0867/0458.



GRETA M. POWELL
Chief, Fort Lewis Installation
Security Office



AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
 Appointing Official: COL Cynthia A. Murphy, Garrison Commander

Interview Data Sheet

Name: (b)(6) Date: **4/30/2007**
 Rank: Time: **0930**
 Organization: **WCPOC, Fort Huachuca** Location: **Telephonic (Rm 211, Bldg 2027D)**
 Phone: (b)(6) Email: (b)(6) **CPOCWCP**
 (b)(6)
 Union Representative: **N/A** Union POC Info: **N/A**

Sworn Statement	Privacy Act Advisement	Rights Waiver Certificate
<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

Documents Requested:

Any email correspondence related to Army vacancy announcement WTEU05004308 for Utility Systems Repairer-Operator Supervisor, WS-4742-10. Specifically, any emails sent to (b)(7) (b)(6) (b)(6) (b)(6) (b)(6) or (b)(6)

Interview Notes:

Refer to question/response format below (Due to geographic separation/telephonic interview, Memorandum for Record will be prepared in lieu of a sworn statement).

1. Interviewer Question: Did you process the Recruit-Fill RPA for Army Vacancy Announcement WTEU05004308 for Utility Systems Repairer-Operator Supervisor, WS-4742-10 in December 2005?

Interviewee Response:

2. Interviewer Question: Did you select the dates the vacancy announcement would be open (23 - 27 DEC 05)?

Interviewee Response:

AR 15-6 Investigation

Investigating Officer: Greta M. Powell, GG-13/Chief, DPTMS Security Division
Appointing Official: COL Cynthia A. Murphy, Garrison Commander

3. Interviewer Question: Why did you write "Please let me know on Tuesday if you feel this needs to be extended to be open for a longer period of time?" in an email to (b)(6) (b)(6) on 23 DEC 05 with subject line: "Utility Systems Repairer-Operator Supv, WG-4742-10, RPA 004308"?

Interviewee Response:

4. Interviewer Question: On what date did management receive a referral list?

Interviewee Response:

5. Interviewer Question: On what date did management make a selection and who was selected?

Interviewee Response:

6. Interviewer Question: Who contacted (b)(6) to offer the position?

Interviewee Response:

7. Interviewer Question: Whose responsibility was it to verify that (b)(6) held the credentials required by a vacancy announcement (i.e. WA driver's license, certifications)?

Interviewee Response:

8. Interviewer Question: Were (b)(6) credentials verified before an SF50 was generated?

Interviewee Response:

9. Interviewer Question: Based on your personal experience, was there anything unusual about the way in which this Recruit-Fill action was handled?

Interviewee Response:





DEPARTMENT OF THE ARMY
INSTALLATION MANAGEMENT AGENCY
HEADQUARTERS, UNITED STATES ARMY GARRISON
BOX 339500, MAIL STOP 16
FORT LEWIS WASHINGTON 98433-9500

REPLY TO
ATTENTION OF

IMNW-LEW-PL-S

30 April 2007

MEMORANDUM FOR RECORD

SUBJECT: AR 15-6 Interview of (b)(6) YA-02 Department of the Army
Civilian (DAC), West Civilian Personnel Operations Center (WCPOC)

1. A telephonic interview of YA-02/DAC (b)(6) was conducted by the undersigned on 30 APR 07 at 0930 hours. A telephonic interview was conducted due to geographic separation.

2. (b)(6) responses to the questions asked were as follows:

a. Interviewer Question: Did you process the Recruit-Fill RPA for Army Vacancy Announcement WTEU05004308 for Utility Systems Repairer-Operator Supervisor, WS-4742-10 in December 2005?

Interviewee Response: Yes. First, a non-competitive temporary promotion action was processed for (b)(6) by (b)(6) and effective on 4 SEP 05 with a not to exceed (NTE) date of 2 JAN 06. Second, a competitive temporary promotion action was processed by (b)(6) (myself, (b)(6) which resulted in the selection of (b)(6). The action was effective on 3 JAN 06 with a NTE date of 2 JAN 07. Third, a non-competitive permanent promotion was processed by WCPOC with an effective date of 2 APR 06.

b. Interviewer Question: Did you select the dates the vacancy announcement would be open (23 - 27 DEC 05)?

Interviewee Response: No. The hiring official selected a five day announcement period. While this is the shortest announcement period available, it is not unusual for hiring officials to request a short announcement period. The dates the vacancy announcement was open, 23 - 27 DEC 05, was not a hiring official decision but rather a result of the timed action processing system used by WCPOC. This system requires us to process a vacancy announcement within a certain number of days of receipt of the action request by the hiring official. Although we do not open or close vacancy announcements on federal holidays, we do routinely open them around federal holidays. This means that it is not unusual for a vacancy announcement open period to include a federal holiday such as Thanksgiving and Christmas.

c. Interviewer Question: Why did you write "Please let me know on Tuesday if you feel this needs to be extended to be open for a longer period of time?" in an email to (b)(6) (b)(6) on 23 DEC 05 with subject line: "Utility Systems Repairer-Operator Supv, WG-4742-10, RPA 004308"?

MEMORANDUM FOR RECORD

SUBJECT: AR 15-6 Interview of Marnie Holder, Department of the Army Civilian (DAC), Installation Management Command – West (IMCOM-WE)

Interviewee Response: It is a normal business practice to send an email copy of the vacancy announcement to the hiring official one day before the vacancy announcement opens. It is also a normal business practice for me to call the hiring official one day before the vacancy announcement opens to answer any questions the hiring official may have. When I am unable to reach the hiring official by telephone, I include any questions and/or comments I would have covered during the routine telephone call in my email to the hiring official. This comment was a normal comment to let the hiring official know that, if necessary, (b) could change the amount of time the vacancy announcement was open.

d. Interviewer Question: On what date did management receive a referral list?

Interviewee Response: A referral list was issued on 28 DEC 05. No time stamp is available on referral lists.

e. Interviewer Question: On what date did management make a selection and who was selected?

Interviewee Response: The hiring official made a selection on 28 DEC 05. No time stamp is available on hiring official selections.

f. Interviewer Question: Who contacted (b)(6) to offer the position?

Interviewee Response: The Fort Lewis Civilian Personnel Advisory Center (b)(6)

g. Interviewer Question: Whose responsibility was it to verify that (b)(6) A. (b)(6) held the credentials required by a vacancy announcement (i.e. WA driver's license, certifications)?

Interviewee Response: The Fort Lewis CPAC. There was no formal process by which the Fort Lewis CPAC would notify WCPOC that verification of credentials took place.

h. Interviewer Question: Were (b)(6) credentials verified before an SF50 was generated?

Interviewee Response: I do not know.

i. Interviewer Question: Based on your personal experience, was there anything unusual about the way in which this Recruit-Fill action was handled?

Interviewee Response: No, not at all.

MEMORANDUM FOR RECORD

SUBJECT: AR 15-6 Interview of (b)(6), Department of the Army Civilian
(DAC), Installation Management Command - West (IMCOM-WE)

3. POC is the undersigned at DSN 357-0867/0458 or COMM (253) 967-0867/0458.

GRETA M. POWELL
Chief, Fort Lewis Installation
Security Office